IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL No.: EV 327673397 US

APPLICANT(s): KELLY et al.

SERIAL NO.: ART UNIT:

FILING DATE: Herewith EXAMINER:

TITLE: DETERMINING MODE SPECTRA FOR PRINCIPAL

STATES OF POLARIZATION

ATTORNEY DOCKET NO.: 860-011447-US(PAR) / 2002-0779

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b) (1))

Sir:

This Information Disclosure Statement is filed together with the above-identified patent application. Thus, a certification under 37 C.F.R. 1.97 (e) or fee under 37 C.F.R. 1.17(p) is not required for the information herein to be considered.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application.

The above-identified patent application claims priority to European Patent Application No. 02022742.7 filed on October

11, 2002. Applicants' attorney encloses a copy of a European Search Report issued on the priority European Patent Application No. 02022742.7. The Search Report cited United States Patent No. 6,229,606, European Patent Application No. EP 1113250 and "PDL Measurements Using The Agilent 8169A Polarisation Controller", Agilent Test And Measurement Support, 2002, retrieved from the Internet.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

oseph V. Gamberdell, Jr.

August 27, 2003

Date

Reg. No.: 44,695 PERMAN & GREEN, LLP

425 Post Road, Fairfield, CT 06824

(203) 259-1800

Customer No.: 2512

Page No.: 1 of: 1

INFORMATION DISCLOSURE CITATION FORM FOR PATENT APPLICATION (FORM PTO-1449)

Docket No.: 860-011447-US(PAR)

20020779

Applicant(s): KELLY et al.

Customer No.: 2512

Serial No.:

Filing Date: Herewith Group: (Substitute) **U.S. PATENTS** Issue Date Patent Number Name Class Filing date **Initials** Subclass 6,229,606 B1 5/8/01 Way et al. 356 364 10/8/99 U.S. PATENT PUBLICATIONS Initials Pub. Date Class Sub-Filing Date Publication No. Name class FOREIGN PATENT DOCUMENTS Translation? **Initials** Document Number Date Country Name Yes/No/n/a EP 1113250 A1 7/4/01 Europe Agilent Technologies Inc. n/a OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) "PDL Measurements Using The Agilent 8169A Polarization Controller", Agilent Test and Measurement Support, 2002, retrieved from the Internet. Examiner's Signature: Date Considered:

Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered.

Include a copy of this citation form with your next correspondence to the Applicant(s).

Express Mail No.: EV 327673397 US